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PTO/SB/08A (10-96)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/577,434
		Filing Date	May 22, 2000
		First Named Inventor	Jason May, et al.
		Group Art Unit	2164
Examiner Name	C. Kyle	RECEIVED MAY 20 2002 Technology Center 2100	
Attorney Docket Number	003801.P029		
Sheet	1	of	10

U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
CLK	3,581,072	Nymayer	5/25/1971	3/28/1968
CLK	3,573,747	Adams, et al.	4/6/1971	2/24/1969
CLK	4,412,287	Braddock, III	10/25/1983	9/15/1982
CLK	4,674,044	Kalmus, et al.	4/16/1997	1/30/1985
CLK	4,677,552	Sibley, Jr.	6/30/1987	10/5/1984
CLK	4,789,928	Fujisaki	12/6/1988	1/30/1987
CLK	4,799,156	Shavit, et al.	1/17/1989	10/1/1986
CLK	4,823,265	Nelson	4/18/1989	5/11/1987
CLK	4,903,201	Wagner	2/20/1990	11/3/1983
CLK	5,063,507	Lindsey, et al.	11/5/1991	9/14/1990
CLK	5,077,665	Sliverman, et al.	12/31/1991	5/25/1989
CLK	5,101,353	Lupien, et al.	3/31/1992	5/31/1989

FOREIGN PATENT DOCUMENTS						
Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			
CLK	PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992	
CLK	PCT	WO 96/34356	4/26/1996	Fleanet, Inc.	10/31/1996	
CLK	PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997	

OTHER DOCUMENTS				
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).			Translation? Yes/No
CLK	MALONE, TW; YATES, J; et al., "Electric Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6, USA			
CLK	WARBELOW, A; KOKURYO, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA			
CLK	"Personal Optimized Decision/Transaction Program", <u>IBM Technocal Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1, USA			
CLK	NEO, BS, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1, No. 5			

Examiner Signature	Charles R. [Signature]	Date Considered	7/17/02
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Sheet 2 of 10	Attorney Docket Number	003801.P029	

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Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	Number				
CLK		5,136,501	Silverman, et al.	8/4/1992	5/26/1989
CLL		5,168,446	Wiseman	12/1/1992	5/23/1989
CLK		5,243,515	Lee	9/7/1993	10/30/1990
CLK		5,258,908	Hartheimer, et al.	11/2/1993	11/2/1990
CLK		5,280,422	Moe, et al.	1/18/1994	11/5/1990
CLK		5,297,031	Guterman, et al.	3/22/1994	3/6/1990
CLK		5,297,032	Trojan, et al.	3/22/1994	2/1/1991
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CLK		5,325,297	Bird, et al.	6/28/1994	6/25/1992
CLK		5,329,589	Fraser, et al.	7/12/1994	6/3/1993
CLK		5,375,055	Togher, et al.	12/20/1994	2/3/1992
CLK		5,394,324	Clearwater	2/28/1995	12/8/1993

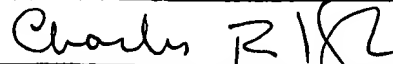
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FOREIGN PATENT DOCUMENTS					
Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Office or Country	Number	Date		
	Netherlands	9300266	2-10-1993		
	France	2658635-A1	2.6.1991		
CLL	Canada	2,253,543	3/19/1997		Yes

OTHER DOCUMENTS			
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).		
CLL	ROCKOFF, TE; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research - Electronic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4, Pages 10-16		
CLL	MASSIMB, MN; PHELPS, BD, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50, Charlottesville, Virginia		
CLL	POST, DL; COPPINGER, SS, et al., "Application of Auctions as a Pricing Mechanism for the Interchange of Electric Power", <u>IEEE Transactions on Power Systems</u> , August 1995, Pages 1580-1584, Vol. 10, No. 3		
CLL	HESS, CM; KEMERER, CF., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-274		

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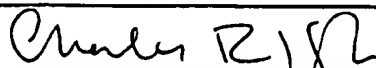
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Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		COMPLETE JC10 MAY 16 2002 PATENT & TRADEMARK OFFICE		Complete if Known	
		Application Number		09/577,434	
		Filing Date		May 22, 2000	
		First Named Inventor		Jason May, et al.	
		Group Art Unit		2164	
Examiner Name		C. Kyle		RECEIVED MAY 20 2002	
Sheet	3	of	10	Attorney Docket Number	003801.P029 Technology Center 2100

U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
CHK	5,426,281	Abecassis	6/20/1995	10/29/1993
CHK	5,553,145	Micali	9/3/1996	8/4/1995
CHK	5,640,569	Miller, et al.	6/17/1997	4/28/1995
CHK	5,664,115	Fraser	9/2/1997	6/7/1995
CHK	5,689,652	Lupien, et al.	11/18/1997	4/27/1995
CHK	5,710,889	Clark, et al.	1/20/1998	6/7/1995
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CHK	5,727,165	Ordish, et al.	3/10/1998	12/27/1994
CHK	5,794,219	Brown	8/11/1998	2/20/1996
CHK	5,818,914	Fujisaki	10/6/1998	3/27/1995
	5,826,244	Huberman	10/20/1998	8/23/1995

FOREIGN PATENT DOCUMENTS						
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OTHER DOCUMENTS				
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).			Translation? Yes/No
CHK	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc. New York, Ny.			
CHK	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technocal Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3			
CHK	LEE, G., "Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", <u>Proceedings of the 29th HICSS</u> , 1996, Pages 397-406, Vol. IV			
CHK	CLEMINS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol 12			

Examiner Signature		Date Considered	7/17/02
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/577,434
		Filing Date	May 22, 2000
		First Named Inventor	Jason May, et al.
		Group Art Unit	2164
		Examiner Name	C. Kyle
Sheet 4 of 10	Attorney Docket Number	003801.P029 Technology Center 2100	



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U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
ck	5,835,896	Fisher, et al.	11/10/1998	3/29/1996
ck	5,845,266	Lupien, et al.	12/1/1998	12/12/1995
ck	5,850,442	Muftic	12/15/1998	3/26/1996

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FOREIGN PATENT DOCUMENTS					
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OTHER DOCUMENTS			
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).		
ck	MARDESICH, J., "Onsale Takes Auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32, Mountain View, California.		
ck	"Onsale joins fray as online shopping picks up speed: Internet Booms", <u>Computer Reseller News</u> , June 5, 1995, Page 73, CMP Publications, Inc., USA.		
ck	SIEGMANN, KEN, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42, Ziff-Davis Publishing Company		
ck	"Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, Pages 3		

Examiner Signature	Charles R. Rife	Date Considered	7/17/00
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	09/577,434
				Filing Date	May 22, 2000
				First Named Inventor	Jason May, et al
				Group Art Unit	2164
				Examiner Name	C. Kyle
Sheet	5	of	10	Attorney Docket Number	003801.P029

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OTHER DOCUMENTS		
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CNK	SCHMID, BF., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electric Markets</u> , October 1993, No. 9/10	
ALL	TJOSTHEIM, I; EIDE, J., "A case study of an on-line auction for the World Wide Web", <u>Norwegian Computing Center</u> , No Date Given, Pages 1-10	
CNK	GRAHAM, I., "The Emergence of Linked Fish Markets in Europe", <u>Focus Theme</u> , No Date Given, Pages 1-4	
CNK	RECK, Martin., "Trading-Process Characteristics of Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-7	

Examiner Signature	Charles R. Kr.	Date Considered	7/17/02
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OTHER DOCUMENTS		
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all	ZWASS, VLADIMIR., "Electronic Commerce: Structures and Issues", <u>International Journal of Electronic Commerce</u> , Fall 1996, Pages 3-23, Vol, 1, No. 1	

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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 8 of 10		Attorney Docket No.: 003801.P029	Application Number: 09/577,434
		First Named Inventor:	Jason May, et al.
		Filing Date:	May 22, 2000

U.S. PATENT DOCUMENTS

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		Number	Kind Code* (If known)			
OK		4,864,516		Gaither et al.	09-05-1989	
OK		5,205,200		Wright	04-27-1993	
OK		5,485,510		Colbert	01-16-1996	
OK		5,557,728		Garrett et al.	09-17-1996	
OK		5,598,557		Doner et al.	01-28-1997	
OK		5,657,389		Houvener	08-12-1997	
OK		5,694,546		Reisman	12-02-1997	
OK		5,706,457		Dwyer et al.	01-06-1998	
OK		5,715,314		Payne et al.	02-03-1998	
OK		5,771,291		Newton et al.	06-23-1998	
OK		5,771,380		Tanaka et al.	06-23-1998	

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		Office*	Number*	Kind Code* (If known)				
OK		WIPO	WO 99/63461	A1	Dinwoodie, David	12-09-1999		
OK		WIPO	PCT/US 01/12398	Int'l. Search Report	eBay, Inc.	08-27-2001		

Examiner Signature	Charles R. [Signature]	Date Considered	7/17/02
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*Unique citation designation number. *See attached Kinds of U.S. Patent Documents. *Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). *For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

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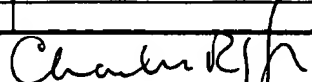
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 8 of 10	Attorney Docket No.: 003801.P029	Application Number: 09/577,434
	First Named Inventor: Jason May, et al.	
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		Number	Kind Code ² (If known)			
CHK		5,799,285		Klingman	08-25-1998	
CHK		5,803,500		Mossberg	09-08-1998	
CHK		5,822,737		Ogram	10-13-1998	
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CHK		6,044,363		Mori et al.	03-28-2000	
CHK		6,055,518		Franklin et al.	04-25-2000	
CHK		6,058,417		Hess et al.	05-02-2000	
CHK		6,073,117		Oyanagi et al.	06-06-2000	
CHK		6,085,176		Woolston	07-04-2000	

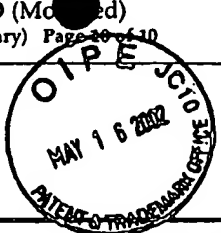

OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
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¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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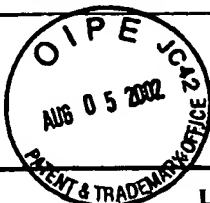
Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 20 of 40		Attorney Docket Number 003801.P029		Application Number: 09/577,434		
		First Named Inventor: Jason May, et al.				
		Filing Date: May 22, 2000				
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		Number	Kind Code ² (If known)			
CRK		6,104,815		Alcorn et al.	08-15-2000	
CRK		6,202,051		Woolston	07-13-2001	
CRK		6,266,651		Woolston	07-24-2001	
CRK		4,949,256		Humble	08-14-1990	
CRK		5,761,648		Golden, et al.	06-02-1998	
CRK		5,884,277		Khosla	03-16-1999	
CRK		5,983,196		Wendkos	11-09-1999	
CRK		6,014,634		Scroggie, et al.	01-11-2000	
CRK		6,052,670		Johnson	04-18-2000	
CRK		6,216,115		Barrameda, et al.	04-10-2001	
OTHER ART - NO PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				Translation ²
CRK		BUSINESS EDITORS, "Mediappraise: Mediappraise Receives National Award for Web-Based Technology That Enables Companies to Solve Thorny HR Problems," 12/14/98, 2 pgs., www.businesswire.com .				
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 1 of 1	Attorney Docket No.: 003801.P029	Application Number: 09/577,434
	First Named Inventor: May, J., et al.	Examiner: Kyle, C.
	Filing Date: May 22, 2000	Art Unit: 2164



PATENT & TRADEMARK						
U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
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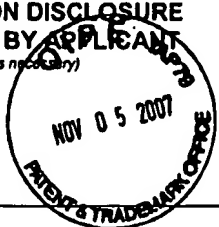
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Application Number	09/577,434
Filing Date	May 22, 2000
First Named Inventor	May, Jason
Group Art Unit	3624
Examiner Name	Kyle, Charles

Sheet 1 of 3

Attorney Docket No: 2043.016US1

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Filing Date	May 22, 2000
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Group Art Unit	3624
Examiner Name	Kyle, Charles

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Attorney Docket No: 2043.016US1

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